

# (P1) Mixed Integer Non-Linear model for equipment sizing

## Objective function

$$\begin{aligned} \min \text{ cost} = & \sum_{j \in E^1} c_j^1 \exp(\mathbf{x}_j^1 + \mathbf{x}_j^2 + \mathbf{y}_j^1 \gamma_j^1) \\ & + \sum_{j \in (E^2 \cup E^3)} [c_j^1 \exp(\mathbf{x}_j^2 + \mathbf{y}_j^1 \gamma_j^1) + c_j^3 \exp(\mathbf{x}_j^1 + \mathbf{x}_j^2 + \mathbf{y}_j^3 \gamma_j^3)] \\ & + \sum_{j \in (E^{2'} \cup E^3)} c_j^2 \exp(\mathbf{x}_j^2 + \mathbf{y}_j^2 \gamma_j^2) + \mathbf{r} \rho \delta \end{aligned} \quad (1)$$

## Restrictions

### Batch stages

$$\mathbf{y}_j^1 + \mathbf{x}_j^1 \geq s_{ij}^1 + \mathbf{y}_i^4 \quad \forall i \in I, j \in E^1 \quad (2)$$

$$\mathbf{y}_i^5 + \mathbf{x}_j^2 \geq t_{ij}^0 \quad \forall i \in I, j \in E^1 \quad (3)$$

### Semi-continuous stages

$$\mathbf{y}_j^1 \geq s_{ij}^1 + \mathbf{y}_i^4 \quad \forall i \in I, j \in E^2 \quad (4)$$

$$\mathbf{y}_j^2 \geq s_{ij}^2 + \mathbf{y}_i^4 \quad \forall i \in I, j \in E^{2'} \quad (5)$$

$$\mathbf{y}_i^5 + \mathbf{x}_j^2 \geq t_{ij}^1 + \mathbf{y}_i^4 - \mathbf{x}_j^1 - \mathbf{y}_j^3 \quad \forall i \in I, j \in E^2 \quad (6)$$

### Chromatographic stages

$$\mathbf{y}_j^1 \geq s_{ij}^1 + \mathbf{y}_i^4 \quad \forall i \in I, j \in E^3 \quad (7)$$

$$\mathbf{y}_j^2 \geq s_{ij}^2 + \mathbf{y}_i^4 \quad \forall i \in I, j \in E^3 \quad (8)$$

$$\mathbf{y}_j^3 + \mathbf{x}_j^1 \geq s_{ij}^3 + \mathbf{y}_i^4 \quad \forall i \in I, j \in E^3 \quad (9)$$

$$\mathbf{y}_i^5 + \mathbf{x}_j^2 \geq \ln [\exp(t_{ij}^0) + \exp(t_{ij}^1 + \mathbf{y}_i^4 - \mathbf{x}_j^1 - \mathbf{y}_j^3)] \quad \forall i \in I, j \in (E^3 \setminus E^{3'}) \quad (10)$$

$$\mathbf{y}_i^5 + \mathbf{x}_j^2 \geq t_{ij}^0 \quad \forall i \in I, j \in E^{3'} \quad (11)$$

## Planning horizon

$$\sum_{i \in I} \frac{d_i}{\delta} \exp(y_i^5 - y_i^4) \leq 1 + \mathbf{r} \quad (12)$$

## Binary variables for duplication of units

$$\mathbf{x}_j^1 = \sum_{k \in K} \mathbf{y}_{jk}^6 \ln(k) \quad \forall j \in E \quad (13)$$

$$\sum_{k \in K} \mathbf{y}_{jk}^6 = 1 \quad \forall j \in E \quad (14)$$

$$\mathbf{x}_j^2 = \sum_{k \in K} \mathbf{y}_{jk}^7 \ln(k) \quad \forall j \in E \quad (15)$$

$$\sum_{k \in K} \mathbf{y}_{jk}^7 = 1 \quad \forall j \in E \quad (16)$$

**Variable bounds** Each variable has upper and lower bounds set by the user. Using constraints (2) to (10) we can refine  $y_i^4$  upper bound and  $y_i^5$  lower bound.

$$y_i^{4,up} = \min \left[ \min_{(i,j) \in I \times E^1} (y_j^{1,up} + x_j^{1,up} - s_{ij}^1), \min_{(i,j) \in I \times (E^2 \cup E^3)} (y_j^{1,up} - s_{ij}^1), \min_{(i,j) \in I \times (E^2' \cup E^3)} (y_j^{2,up} - s_{ij}^2), \min_{(i,j) \in I \times E^3} (y_j^{3,up} + x_j^{1,up} - s_{ij}^3) \right] \quad (17)$$

$$y_i^{5,lo} = \max \left[ \max_{(i,j) \in I \times (E^1 \cup E^{3'})} (t_{ij}^0 - x_j^{2,up}), \max_{(i,j) \in I \times E^3} (\ln(T_{ij}^0 + \exp(t_{ij}^1 - y_j^{3,up} - x_j^{1,up})) - x_j^{2,up}) \right] \quad (18)$$

## Notations

### Indices and sets

- $I$  Set of products  $i$
- $E$  Set of stages  $j$
- $E^1$  Set of batch stages  $j$
- $E^2$  Set of semicontinuous stages  $j$
- $E^{2'}$  Subset of semicontinuous stages  $j$  with permeate units
- $E^3$  Set of chromatographic stages  $j$
- $E^{3'}$  Subset of gel filtration chromatographic stages  $j$
- $K$  Set of available units operating in-phase or out-of-phase

## Variables

$y_j^1$	logarithmic volumetric capacity for tanks in batch stages and retentate or feed tanks for semicontinuous and chromatographic stages
$y_j^2$	logarithmic volumetric capacity for permeate or product tanks for semicontinuous and chromatographic stages
$y_j^3$	logarithmic size of the semicontinuous or chromatographic unit which can be, for example, a processing rate in the case of an homogenizer or an area in the case of a filter
$y_i^4$	logarithmic final batch size, in mass units, for product $i$
$y_i^5$	logarithmic cycle time for product $i$
$x_j^1$	number of units operating in-phase
$x_j^2$	number of units operating out-of-phase
$y_{jk}^6$	binary variables to account for a discrete number of units duplicated and operating in-phase
$y_{jk}^7$	binary variables to account for a discrete number of units duplicated and operating out-of-phase
$r$	Slack variable

## Parameters

$s_{ij}^1$	Constant size factor for batch stages or retentate/feed tank in semicontinuous or chromatographic stages for product $i$ that is processed in stage $j$
$s_{ij}^2$	Constant size factor for permeate/product tanks in semicontinuous or chromatographic stages for product $i$ that is processed in stage $j$
$s_{ij}^3$	Constant size factor for chromatographic columns for product $i$ that is processed in stage $j$
$t_{ij}^0$	Constant time factor for batch and chromatographic stages for product $i$ that is processed in stage $j$
$t_{ij}^1$	Constant time factor for semicontinuous and chromatographic stages for product $i$ that is processed in stage $j$
$c_j^1$	cost coefficient for batch stage $j$ of for retentate/feed tank of semicontinuous or chromatographic stage $j$
$c_j^2$	cost coefficient for permeate/product tank of semicontinuous or chromatographic stage $j$
$c_j^3$	cost coefficient for chromatographic column in stage $j$
$\gamma_j^1$	cost coefficient for batch stage $j$ of for retentate/feed tank of semicontinuous or chromatographic stage $j$
$\gamma_j^2$	cost coefficient for permeate/product tank of semicontinuous or chromatographic stage $j$
$\gamma_j^3$	cost coefficient for chromatographic column in stage $j$
$\rho$	appropriate constant comparable to $c_j$ parameters
$d_i$	overall amount of product $i$ to be made within the time horizon $\delta$
$\delta$	time horizon